

# National Board of Accreditation

## **Salient Features of Compliance Report**

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## What is a Compliance Visits?

- A short (**one day**) visit to evaluate the compliance report submitted by institutions that have already been accredited and whose accreditation period is due to expire.
- Team generally consists of **chairman** and **one** or **two** evaluators.
- The team verifies if the institute meets the **minimum** requirement in parameters like:
  - Admissions
  - SFR
  - No. of Professors/ Associate Prof.
  - No. of PhD in the faculty
- The team also verifies the **status of compliance** regarding parameters that had been flagged as **weakness/ deficiencies** by the previous visit team.
- Special emphasis on checking the **genuineness of the faculty** claimed.

# **Pro-forma for Evaluation of Compliance Report**

## Compliance Status- PART A

S. No.	Parameters	Minimum Requirement	Calculations	Remarks of the Evaluator
1.	Attach the copy of the AICTE approval for the Current Academic Year			
2.	Attach the copy of university Affiliations for the current academic year (if applicable)			
3.	Admissions at the programme level average for the previous three academic years (including actual admission through lateral entry) for CAYm1, CAYm2 and CAYm3	50%	<p>% Admissions at first year level= (students admitted (including lateral entry) / Total students Intake) × 100</p> <p>For the academic year (%)</p> <p><b>CAYm3 (2017-18) =</b></p> <p><b>CAYm2 (2018-19) =</b></p> <p><b>CAYm1 (2019-20) =</b></p> <p><b>Average =</b></p>	

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4 Faculty Details of the Department (UG+PG):								
S.No.	Designation	CAYm1 2019-20			CAY 2020-21			Remarks of the Evaluator
		With PhD.		Without PhD.	With PhD.		Without PhD.	
		Regular	Contractual		Regular	Contractual		
4a.	Professors							
4b.	Associate Professors							
4c.	Assistant Professors							
4d.	Total number of Faculty in the Department (UG+PG)							

5. SFR Calculations							
S.No.	Parameter	Calculations	Minimum Requirement	Academic Year			Remarks of the Evaluator
				CAYm2	CAYm1	CAYm3	
				2018-19	2019-20	2020-21	
5a.	Total number of sanctioned intake at UG + Actual admitted lateral entry students + PG level for the previous three academic years including the current academic year	* Total No. of Students in the Department (S) =UG1 + UG2 + Ugn + PG1 +PGm	-				
<b>* Students of all UG Programs from 2nd years onwards</b>							
5b.	The student faculty ratio (SFR) in the department averaged for previous three academic years Including the current academic year.	SFR = S/F, Where S= Total number of students in the dept. and F= total number of faculty in the dept.	1:25				

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S.No.	Parameter	Calculations	Minimum Requirement	Academic Year			Remarks of the Evaluator
				CAYm2	CAYm1	CAYm3	
				2018-19	2019-20	2020-21	
6.	Improvement in success rate without backlog for past three batches	$SI = (\text{Number of students who have graduated from the program without backlog}) / (\text{Number of students admitted in the first year of that batch and admitted in 2nd year via lateral entry and separate division, if applicable})$  Average SI = mean of Success Index (SI) for past three batches					
7.	Improvement in success rate with backlog for past three batches	$SI = (\text{Number of students who graduated from the program in the stipulated period of course duration}) / (\text{Number of students admitted in the first year of that batch and admitted in 2nd year via lateral entry and separate division, if applicable})$  Average SI = mean of Success Index (SI) for past three batches					

S.No.	Parameters	Calculations	Prior to the Visit	After the visit	Remarks of the Evaluator
8	<b>Improvement in Academic Performance for the previous three years</b>	<p><b>Academic Performance</b> = Average API (Academic Performance Index)</p> <p><b>API</b> = ((Mean of 2nd Year Grade Point Average of all successful Students on a 10 point scale) or (Mean of the percentage of marks of all successful students in Second Year/10)) x (number of successful students/number of students appeared in the examination). Successful students are those who are permitted to proceed to the Third year.</p>			



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S.No.	Parameters	Calculations	Prior to the Visit	After the visit	Remarks of the Evaluator (to be left blank)
9	<p><b>The Placement +Higher Studies+ Entrepreneurship ratio averaged for previous three academic years.</b></p>			<p><b>The Placement +Higher Studies+ Entrepreneurship Ratio = Z/N</b>  <b>Where,</b>  <b>Z = No. of students Placed + No. of students admitted for higher studies with valid qualifying scores in GATE or equivalent State or National Level Tests , GRE, GMAT + opted Entrepreneurship</b>  <b>N= No. of Students appeared in final year examination</b>  <b>For the Academic years:</b>  <b>CAYm3 (2017-18</b>  <b>CAYm2 (2018-19)</b>  <b>CAYm1 (2019-20)</b>  <b>Average =</b></p>	

## Compliance status – PART B (Faculty Research and Development)

S.No.	Criteria	Prior to the Accreditation Visit	After the Accreditation Visit	Remarks of the Evaluator
1	Improvement in the number of Faculty Development program (FDP)			
2	Improvement in the number of Publications			
3	Quality of Publications	(a) <b>No. of papers in</b> Non SCI SCI/ESCI SCOPUS IEEE conference/ IET Conferences  Books Book's chapter (b) <b>Patent/copyrights</b>		
4	Amount of funded research received			
5	Amount of funded consultancy			
6	Number of PhD. Produced			
7	Number of PhD. Pursuing			

## Compliance status – PART C (Overall Compliance)

S.No.	Parameter	Criteria	Observations	Remarks of the Evaluator
1	Observations on the overall compliance to the deficiencies mentioned by the previous visiting team	Criteria 1 : : : Criteria N (whichever applicable)		

## Compliance status to Compliance Report

S.No.	Pre Visit Qualifiers (Average of Assessment years)	Requirement for 3 years	YES/NO	Requirement for 6 years	YES/NO
1	Admissions in the undergraduate programs under consideration including students admitted through lateral entry (average of the previous three academic years CAYm1, CAYm2 and CAYm3)	50%		75%	
2	Faculty student ratio in the department under consideration (Averaged over previous three academic years including Current Academic Year.)	1:25		1:20	
3	At least one Professor or one Associate Professor on regular basis with Ph.D. degree should be available in the respective Department during previous two academic years including current academic year.	YES		At least two Professors or one Professor and one Associate Professor on regular basis with Ph.D. degree should be available in the respective Department for previous two years (including Current Academic Year).	
4	Number of available Ph.Ds. in the department (Averaged for previous two academic years including Current Academic Year.)	10%		30%	
5	Whether HODs possess Ph.D. degrees for the programs under consideration	NA		YES	

**What you should and should not do?**

**THANK YOU**